





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ali I. FATTOM et al.

Title:

GLYCOCONJUGATE VACCINES

FOR USE IN IMMUNE-

COMPROMISED POPULATIONS

Appl. No.:

09/955,585

Filing

09/19/2001

Date:

Examiner:

Unassigned

Art Unit:

Unassigned

UNDER 37 CFR § 1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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	Substitute for	or form 1449B	/PTO	Complete if Known		
	ONFORMATI			Application Number	09/955,585	
46 1 2 2003	STATEMEN	T BY APPLI	CANT	Filing Date	09/19/2001	
	ွှဲ့ Bate Submitte	ed: August 1	2 2003	First Named Inventor	Ali I. FATTOM	
				Group Art Unit	Unassigned	
RADEMARY	use as many s	sheets as ne	cessary)	Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	018861-0216	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Fore Office ³	eign Patent D Number ⁴	Occument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	A1	SEARCH REPORT	
	A2	P.G. Welch et al. "Safety and Immunogenicity of Staphylococcus aureus Type 5 Capsular Polysaccharide-Psuedomonas aeruginosa Recombinant Exoprotein A Conjugate Vaccine in Patients on Hemodialysis" Journal of the American Society of Nephrology, Vol. 7, No. 2, 1996 pp. 247-253.	
	A3	Ali I. Fattom et al. "Staphylococcal Vaccines: A Realistic Dream" Trends in Molecular Medicine, Ann Med. 28, 1996, The Finnish Medical Society DUODECIM, pp. 43-46.	
	A4	Ali Fattom et al. "Effect of conjugation methodology, carrier protein, and adjuvants on the immune response to Staphylococcus aureus capsular polysaccharides", Vaccine, Vol. 13, No. 14, 1995, pp. 1288-1293.	
	A5	Henry Shinefield et al. "Use of Staphylococcus Aureus Conjugate Vaccine in Patients Receiving Hemodialysis" N Engl. J Med. Vol. 346, No. 7, 2002, pp. 491-496.	

Examiner Date Considered			
Considered	Examiner Signature	Date Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.